Statement of Faculty Senate Action:

FWDC 8: Editorial Changes to Section 2 of the Faculty Handbook

Effective date: Immediately

Summary:
In preparation for SACS review, beginning in Summer 2012, Provost Fernandes asked FWDC and Jeff Konz, Dean of Social Sciences, to review the Faculty Handbook for consistency, correctness, and clarity. We determined that the most important sections of the handbook for SACS are Section 2 (Hiring-Termination: Ranks, Searches, Contracts, Benefits) and Section 3 (Faculty Rights, Responsibilities and Evaluations). We have completed our review of Section 2 and propose the following changes. It is the judgment of FWDC that all of the following changes are editorial rather than substantive. While editorial changes do not ordinarily require Senate action, we believe that the volume of changes we have made warrant a document in this case. Specific explanations of the most significant editorial changes:

1) All references to “VCAA” or “Vice Chancellor of Academic Affairs” have been changed to “Provost and VCAA” or “Provost and Vice Chancellor of Academic Affairs” to reflect the formal title of the Chief Academic Officer.

2) “UNCA” is changed to “UNC Asheville” throughout.

3) For reasons of consistency, 2.1.2.3 describing appointment to the ranks of Associate Professor and Professor is changed to the language used in 3.5.3 to describe tenure to those ranks.

4) The last sentence in 2.2 is struck because it is incorrect and, in our judgment, not needed in the handbook.

5) The last portion of Section 2.3 is struck because, rather than the allocation of faculty positions, it refers to procedures for the search process which are posted at Academic Affairs. The reference to SD1099F is moved to the beginning of Section 2.5.

6) Section 2.5 is a reproduction of policies posted at Academic Affairs. To avoid inconsistencies, we suggest a link to that site instead of a reproduction of the same material.

7) In Section 2.9, “enrollment increases” was incorrect, as the composition of enrollment matters as well. The second section was seen as unnecessary.

8) Section 2.10 is replaced with a link to the benefits page of Human Resources.

9) Section 2.11 is divided into separate sections on Termination and Retirement.

10) Sections 2.12 is redundant, appearing in 2.1.2.2, and is removed.
2.0 HRING - TERMINATION: RANKS, SEARCHES, CONTRACTS, BENEFITS

2.1 Faculty Status and Rank - Definitions

2.1.1 Terminal Degree Policy of the UNC System

It is the policy of the University of North Carolina at Asheville that faculty members must have the appropriate terminal degree for their discipline in order to be eligible for academic tenure. In most fields this is the doctorate degree.

2.1.2 Faculty Ranks

The faculty at the University of North Carolina at Asheville is composed of [all persons having academic rank, including] the Chancellor and the Vice Chancellor for Academic Affairs, the Provost and VCAA, all ranked librarians, [The ranks are Professors, Associate Professors, Assistant Professors, Lecturers, Instructors, and Visiting Faculty (see Article I, Constitution of the Faculty Senate, UNCA, Section 14.1). Individuals in Specialized Faculty Appointments are generally not considered part of the faculty. See Section 14.2 of the UNCA [UNC Asheville] Tenure Policies and Regulations, Faculty Ranks, for details associated with appointments, evaluation and notice.

2.1.2.1 Non Tenurable Ranks

Instructor: The rank of instructor is appropriate for persons who are appointed to the faculty in the expectation that they will soon progress to a professorial rank but who lack, when appointed, the necessary terminal degree for appointment to a professorial rank. Initial appointment to the rank of instructor shall be for one year, with one additional year of Instructor rank allowable so as to complete the required degree. (However, the candidate can be offered a terminal one-year appointment following the two-year allowance.) Upon completion of the necessary degree, advancement to the rank of assistant professor begins the following fall semester and initiates the four-year probationary term. No person holding a position as an instructor may be appointed to permanent tenure at that rank.

Lecturer: The rank of lecturer is reserved for persons who are appointed to non-tenure track full-time faculty positions of specifically contracted length. Initial appointment to the rank of lecturer shall be for a term of one year. After completion of at least three one-year appointments, terms may be extended to three or five years. No person holding the position of lecturer may be appointed to permanent tenure at this rank. The "notice" provisions of Section 14.2 do not apply to lecturer appointments; each lecturer shall be notified of reappointment for the following academic year before the end of the preceding spring semester in the final year of the contract.

Types of lecturer positions

There are two types of lecturer positions. A temporary lecturer may be requested to fill immediate instructional needs with no expectation to keep the position beyond the single year or term. Temporary lecturers most often substitute for tenure track faculty when a search is in progress. A continuing lecturer position may be requested when a department/program wishes to add a lecturer to its full-time staff. For more information on lecturer positions visit http://rocky2.unca.edu/aa/lecturer_info.htm

Visiting Faculty: The qualification “visiting” applies to faculty hired for fixed-term appointments, normally in roles replacing a regular faculty member taking a leave or
awarded an off-campus scholarly assignment. Such an appointment shall be at a specific rank for a term of not more than one year; one subsequent appointment may be made for a term of not more than one year.

**Specialized Fixed-term Faculty Appointments** (see Section 14.2. for further explanation).

**Artist, Poet, Writer in Residence, Clinical, Research**: Fixed-term appointments with the title designations of "artist in residence," or "writer in residence," or with any faculty rank designated in Section 2.1.2 above when accompanied by the qualifying prefix "Clinical," or "Research," may be made as provided herein. Such an appointment is appropriate for a person who has unusual qualifications for teaching, research, academic administration, or public service but for whom neither a professorial rank nor the instructor rank is appropriate because of the limited duration of the mission for which he or she is appointed, because of concern for continued availability of special funding for the position, or for other valid institutional reasons. An initial special appointment shall be for fixed terms of one to five years and may be made either in direct succession or at intervals.

The "notice" provisions of Section 14.2 do not apply to special faculty appointments, and a faculty member holding such an appointment is not entitled to any notice concerning offer of any subsequent appointment at any rank or appropriate department.

**Adjunct Faculty** (one-half time or less): Adjunct faculty are employed semester by semester and paid on a per course contract as specified in their letter of employment from the Provost and VCAA. Normally an adjunct is restricted to teaching no more than six hours per semester.

**2.1.2.2 Emeriti Faculty** (SD0202F supersedes SD0495F)

To honor distinguished service, the University grants emeritus status to those faculty members who:

Retire from the University with faculty rank after at least ten years of service at UNCA [UNC Asheville] or retire from the University with tenure.

Are approved by the Board of Trustees acting upon the recommendation received from the faculty of the home department or program and Chancellor.

However, special circumstances may dictate that the first of these requirements be waived, in which case the Committee of the Tenured Faculty is empowered to act by two-thirds vote of those present and voting. Eligibility for emeritus status under these standards is retroactive for all faculty who have retired since the creation of the University of North Carolina at Asheville.

The designation shall be "Emeritus" following whatever highest rank has been earned, e.g., Professor Emeritus.

In each instance of faculty retirement, the Chair of the retiree's department (in the case of retiring Department Chairs or Program Directors, the Vice Chancellor for Academic Affairs [Provost and VCAA]) shall initiate the process of recommending emeritus status, provided such action meets the approval of the individual concerned.

The designation of Emeritus status for major administrative officers shall conform to the same ten year policy and be initiated by the Chancellor for approval by the Board of Trustees. Major administrators who also hold professorial rank will be considered for professional emeritus status in accordance with the regular procedure for faculty and
administrative status in accordance with the procedure for administrators.

Retired and Emeritus Professor (SD2484)

Faculty members retired and emeriti possess such privileges as continued listing in the university catalog, standing invitations to march in all academic processions and to participate in other academic faculty events on the same basis as currently employed faculty, use of athletic and library facilities and faculty parking areas, and such other privileges as may be extended by the Board of Trustees.

2.1.2.3 Tenurable Ranks

Assistant Professor: Appointment to the rank of assistant professor is appropriate for faculty who hold the terminal degree (usually the doctorate) in their discipline and who are otherwise fully qualified to hold a faculty position. Initial appointment to this rank shall be for a probationary term of four years. In the third year of this term following a review, the person may be appointed for a second four-year probationary term. A favorable review followed by reappointment voids the fourth year of first term and initiates the second probationary term in the following academic year. An unfavorable review allows the fourth year of the first term to serve as a grace year of employment with faculty status. (See Section 14.2). Reappointed persons on tenure track will normally be evaluated for tenure and promotion to Associate Professor in the sixth year of the full probationary period. Candidates awarded tenure will begin a permanent contract in the following year of employment. Candidates not awarded tenure may not be reappointed beyond the seventh year of employment.

Associate Professor: Appointment to this rank is appropriate for faculty who hold the terminal degree in their discipline and who demonstrate an outstanding level of achievement in either teaching or scholarship and creative activity, with significant contribution in the other area, and in service. For untenured faculty, the initial appointment to this rank shall be for a probationary term of four years. Appointments will normally be evaluated for tenure in the third year of the term. Candidates awarded tenure will begin a permanent contract in the fourth year of employment. Candidates not awarded tenure may not be reappointed beyond the fourth year of the term. (See Section 14.2).

Professor: Appointment to the rank of Professor indicates an outstanding level of achievement in either teaching or scholarship/creative activity, with a significant contribution in the other area, and in service which has been sustained over a period of years. For untenured faculty, the initial appointment to the rank shall be for a probationary term of four years. Appointments will normally be evaluated for tenure in the third year of the term. Candidates awarded tenure will begin a permanent contract in the fourth year of employment. Candidates not awarded tenure may not be reappointed beyond the fourth year of the term. (See Section 14.2).

2.1.2.4 Part-time, continuing contract (at least one-half time)

Under special circumstances approved by the Provost and VCAA, and for a specified time period, a faculty member may be appointed to a ranked position at less than full-time but more than one-half time teaching equivalency. Persons in this category are on an annual salaried contract; their tenure status is not altered by this appointment. North
Carolina law requires a person to be employed at least three-quarters time to qualify for benefits.

2.2 Budgeted Faculty Positions

The Board of Governors annually determines the number of full-time-equivalent (FTE) students to be funded at each constituent institution and then allocates faculty positions, salary and other budget items, based upon the enrollment figures. The adjunct faculty budget is funded by holding some full-time positions vacant which generates money to hire adjunct faculty. Information about the relationship between enrollment and faculty positions is available at the Office of Institutional Research's website in the “Introduction” section of each annual Department Profile report http://www2.unca.edu/ir/report/deptprof/dept_profile10.pdf

2.3 Allocation of Faculty Positions

Faculty positions funded to UNCA [UNC Asheville] are allocated by the Provost and VCAA after analysis of requests from Department Chairs and Program Directors, consideration of institutional needs, and consultation with the Position Allocation Committee and the Chancellor. Positions which become vacant must be justified in the same way as requests for new positions. Once a position has been allocated the Search Procedure described in the following section is activated. All searches for full-time positions must be carried out nationwide utilizing advertising most effective for the department/program. Applicant pools are expected to reflect disciplinary availability of minority and women candidates. Normally, with approval of the [Provost and] VCAA, at least two applicants are invited to campus interviews. A summary report on the applicant pool is required when the search is completed. All members of the Faculty Senate are empowered to visit with the candidates for a faculty position at UNCA [UNC Asheville]. (SD1099F)

The Faculty Search Committee comprises one part of the faculty employment process at UNCA [UNC Asheville].

2.4 Faculty Searches (SD4682) (Edited by VCAA, 2007)

This process is controlled by Chapter VI of The Code of the University as well as by State and Federal laws governing employment by public institutions and including regulations concerning Affirmative Action and non-discrimination. In the employment of faculty members at UNCA [UNC Asheville], the [Provost and] VCAA has been delegated responsibility for all matters, from the allocation of vacant positions to the recommendations for hiring to the Chancellor. In the hiring of tenure-track persons, the Board of Trustees acts on the recommendation of the Chancellor. The Board has delegated to the Chancellor the final decision on the hiring of non-tenure-track faculty members.

Purpose of the Search: (SD7103S)

To find the person most qualified in the needed expertise and most suited to the purposes and character of this particular academic community. The achievement of this purpose may be modified by the circumstances of the employment market, rank and salary available, the recruitment and retention of faculty accompanied by an academic spouse/partner, etc.

Function of the Search Committee:

1. To clarify (to the extent that they have not already been determined) the professional and personal qualifications to be sought in applicants for the position including areas and level of expertise, allied areas, special needs for personal characteristics, etc.

2. To screen all applicants in order to determine those most qualified.

3. To determine the qualified applicants who are members of minority groups or women.

4. To recommend to the Provost and VCAA several applicants to be invited for on-campus
interviews.

5. To participate in these on-campus interviews and to recommend to the Provost and VCAA the persons to whom offers of employment shall be made.

**Role of Chair of the Search Committee:**

1. To lead the Committee in performing its functions including:
   * setting up its procedures, files, and individual assignments,
   * maintaining objectivity in assessing applicants' qualifications,
   * directing attention to affirmative action consideration, and
   * checking applicants' references.

2. To act as liaison with Office of Academic Affairs in:
   * developing advertisement, its placement in journals and deadline for applications,
   * determining the availability of travel funds for candidates,
   * meeting requirements and reporting needs of Affirmative Action,
   * offering the position to the candidate, and
   * carrying on any subsequent salary or rank discussions.

3. To make arrangements for interviews and presentations by candidates in:
   * setting up dates and interview schedules,
   * arranging travel, lodging and meals, and
   * arranging for reimbursement for expenses incurred by candidate.

**Composition of Search Committees**

The types indicated below reflect the normal hiring procedures. As particular needs and circumstances arise, variations may become necessary and should be approved by the Provost and VCAA. All committee members and the committee Chair are explicitly appointed by the Provost and VCAA.

**Search for Department Chair**

Because of the nature of this position, involving more than disciplinary expertise and calling for qualities of leadership, communication and awareness of organizational realities, this Search Committee extends beyond the confines of the department. Depending on the size and composition of the department, there should normally be two senior faculty members from the department and three department chairs or program directors on the committee.

The tenure-track department members who are not on the search committee should be consulted on the academic qualifications of the candidates selected by the Committee to visit the campus. All department members should have the opportunity to interview the candidates.

**Search for a Department Member**

In departments of five or fewer members, the department as a whole should act as a search committee along with one person outside the department. In departments of six or more the Chair will recommend to the Provost and VCAA the names of those to serve on the Search Committee. Normally these should include the senior faculty and the tenured junior faculty before non-tenured junior persons are considered for membership. One person from outside the department shall be appointed to the Search Committee. Except for unusual circumstances, the Department Chair shall serve as the Chair of the Search Committee. Each Search Committee shall contain both men and women and, whenever possible, a minority person.
Interdepartmental Searches

When there is a search for a person whose major responsibilities will extend beyond one academic department, a committee will be constituted by selecting persons from those areas in which the new faculty member will work.

2.4.1 Affirmative Action

UNCA [UNC Asheville]'s commitment to a liberal education of highest quality requires the creation of a diverse community of teachers and learners. Consequently, the University is unalterably dedicated to employing a multicultural faculty of diverse age, gender, and race who share the vision of a distinctive public undergraduate liberal arts university. The University Affirmative Action Plan and search procedures to assist in achieving this vision are updated annually to align with hiring goals in accordance with federal and state statutes. Updated copies of the Affirmative Action Plan are available in the Human Resources Office, the Provost Office and Ramsey Library.

2.5 Procedures for Recruitment and Hiring

[As specified in SD1099F, all members of the Faculty Senate are empowered to represent the Faculty Senate during the on-campus interview process.]

For current recruitment and hiring procedures, see Academic Affairs at [http://www2.unca.edu/aa/recruit_hire.htm]

2.5.1 Requesting the position

1. The department chair/program director should begin by discussing the proposed position request with the appropriate program area Dean to understand this request in the context of institutional priorities and initiatives.

2. The department chair/program director completes either a Request for Tenure Track Faculty Position or a Request for Non-Tenure Track Faculty Position form. The completed request should be returned to the program area Dean by December 1.

3. The program area Dean is responsible for adding the signed form to the materials awaiting review by the Position Allocation Committee (PAC). The Provost and Vice Chancellor for Academic Affairs is responsible for notifying the chair/director of the outcome of the Committee review. PAC typically meets one or more times early in Spring semester.

4. If the request is approved, the position request form is attached to a routing sheet that is retained in Academic Affairs to track the dates of and actions on all elements of the recruitment and hiring process [click here to view Tracking Sheet]

2.5.2 Conducting the search (See also Handbook information on Search Committees and Types of Lecturers)

1. Temporary lecturers or part-time positions

A. The department chair/program director serves as coordinator of the search. Please remember to consult with the Affirmative Action Officer on diversity matters and University Counsel on legal matters.
B. Candidates may be identified through advertisements on the UNC-Asheville/dePARTMENT websites, in local/regional media, or through personal contacts. Please note that acceptable candidates must have an appropriate graduate degree OR at least 18 hours of graduate credit in the appropriate area OR experience that the chair can describe in a compelling way to justify the hire.

C. Search costs are the responsibility of the department. Reimbursements must adhere to University procedures (see website for the Controller's Office for reimbursement information).

2. Tenure-track and continuing lecturer positions

A. The department chair/program director writes a position advertisement in consultation with department/program faculty. Please remember to consult with the Affirmative Action Officer on diversity matters and University Counsel on legal matters.

B. The chair/director establishes a Search Committee in accordance with the procedures outlined in the Faculty Handbook (Composition of the Search Committee). The chair/director either suggests a member from outside the department/program or requests that a member be appointed by the program area Dean.

C. The chair/director completes a Request to Initiate a Faculty Search form, attaching a copy of the proposed ad as indicated.

D. The form is submitted for approvals to the University's Affirmative Action officer and routed as indicated. At each point, the chair/director may be asked to meet with an approving party for discussion of the position ad or search plan.

i. University's Affirmative Action Officer

ii. Appropriate program area Dean

iii. [Provost and] Vice Chancellor for Academic Affairs

E. Upon approval by the Provost and Vice Chancellor for Academic Affairs, the chair/director submits the position ad for publication and for posting on the UNC-Asheville web site. Ads should be routed through Cindy Reagan, Office of the Deans, for billing to the Academic Affairs search account.

F. As applications arrive, the chair/director ensures that Affirmative Action reply cards are sent to candidates along with acknowledgment of receipt of their applications. Cards are available from Cindy Reagan, Office of the Deans. Data from reply cards will be compiled by the Chancellor's Office, and are available to the University's Affirmative Action Officer.

G. After applications are reviewed, the chair/director brings at least three completed applications to the program area Dean and the Provost and Vice-Chancellor for Academic Affairs for interview approval.

H. Upon approval, the chair/director schedules at least two candidates for on-campus interviews.
2.5.3 On-campus interviews

1. Arrangements for on-campus interviews typically are made by the department/program. Expenses are reimbursed from appropriate accounts in Academic Affairs. Reimbursements should not exceed either actual costs or the maximum in-state subsistence allowance, whichever is less, for a period of time not to exceed three days (see website for the Controller’s Office for more information on reimbursement).

   A. Travel

   i. Air travel: Departments are encouraged to arrange interview schedules to allow an over-Saturday-night stay to in order to receive the lowest possible airfare. Candidates who make their own flight reservations must keep all original receipts showing actual payment of airfare (e.g., an email confirmation for online reservations) AND all boarding passes. Receipts and appropriate travel reimbursement paperwork should be submitted to Cindy Reagan, Office of the Deans. Please note that there is no reimbursement for air travel by spouses/partners.

   ii. Driving: Candidates should complete travel reimbursement forms indicating mileage for reimbursement. Please note that faculty are not reimbursed for transporting a candidate to/from the airport, hotel, or meals.

   B. Lodging: The University has agreements each year with several local hotels that charge government rates and bill UNCA (UNC Asheville) directly. Please contact Cindy Reagan, Office of the Deans, for the current list.

   C. Meals: Expenses are paid from the Provost and Vice Chancellor for Academic Affairs’ discretionary account. Receipts should be submitted to So Yung Wilson, Executive Assistant to the Provost and Vice Chancellor for Academic Affairs. The University will pay for the candidate, an accompanying spouse or partner if present, and one faculty member for each meal.

2. On-campus interviews should include at minimum one-class presentation and meetings with each of the following individuals.

   A. Faculty in the department

   B. Member of the Faculty Senate (contact the Faculty Senate Secretary for the list)

   C. Recent member of the Tenure Committee (contact the Faculty Senate Secretary for the list)

   D. Human Resources benefits representative (Lisa Honeycutt)

   E. Program area Dean

   F. Provost and Vice Chancellor for Academic Affairs

3. Departments may find the following HR resources to be useful for candidate interviews (located at www.unca.edu/hr/forms.html).

   A. Interview Questions Guide: This chart lists permissible questions and questions
that must be avoided to comply with federal and state legislation.

B. Behavioral Interview Guidelines: This packet provides a variety of introductory materials, including a chart on permissible questions, to help plan for and execute effective interviews.

C. Telephone Reference Check: This form is designed to record information during telephone calls to candidate references.

2.5.4 Completing the hire

1. Once the Search Committee has decided on a candidate, the chair/director should arrange a meeting with the VC for Academic Affairs to discuss salary and, if relevant, anticipated start-up needs.

2. The VC for Academic Affairs will contact the candidate to offer the position. When the offer is made, a candidate who needs start-up funds will be asked to work with the chair/director and program area Dean to delineate start-up needs. When completed, the candidate should send a detailed start-up request to the VC for Academic Affairs, copied to the chair/director and program area Dean. The VC for Academic Affairs makes the final decision regarding start-up funds.

3. Once the candidate accepts the offer, the chair/director completes a Request to Appoint New Faculty form and submits it to Cindy Reagan, Office of the Deans.

4. After receipt of the completed Request to Appoint form, the prospective faculty member receives a Letter of Intent from the Office of Academic Affairs and a cover letter which includes the details of any start-up package. The prospective faculty member is encouraged to sign and return the Letter of Intent in a timely manner so that it can be presented to the Board of Trustees at its May meeting.

5. After the Board of Trustees approves the hire, the prospective faculty member receives a formal contract from the Office of Academic Affairs. Returning the signed contract completes the formal process of faculty hiring. The new faculty member receives a New Employee Information Packet from the Office of Academic Affairs with information about payroll, directory, email accounts, etc. Please note that state funds cannot be used to pay moving expenses.

-OTHER ONLINE RESOURCES
  Checksheet for Faculty Searches – for tracking search progress
  HR Forms – scroll to “Employment” for help with staff recruitment/hiring

>> Select “Advertising” for checksheets for SPA and EPA searches
>> Select “Permanent” or “Temporary” Employees for search resource

2.6 Contracts - Full time

2.6.1 General

The normal contract period for faculty members is the academic year, two semesters spanning about nine months, and running from the first academically related assignment prior to fall registration, [the official opening date of the semester] through commencement at the end of spring semester.

After a candidate has been recommended for full-time employment by the appropriate
department chair or program director, the Provost and VCAA, and approved by the UNCA [UNC Asheville] Board of Trustees, a written contract is issued.

2.6.2 Joint Faculty Appointments

To receive a joint appointment in two academic departments a faculty member must:

1. Provide documentation of education, training, or experience relevant to the curriculum needs of both departments.

2. Receive a recommendation from the Chairs of each department which includes statements indicating the appropriateness and justification for such appointment.

3. Agree to meet the anticipated teaching assignments in both departments.

4. Agree that one department is the department of primary responsibility. This department's chair will be responsible for all personnel recommendations but must consult with and include in the file an evaluation and recommendation letter from the chair of the second department. Should tenure be granted it would be as a faculty member in the primary department. The decision as to which department is the primary department is negotiated between the faculty member and both department Chairs and approved by the Provost and VCAA at the time of initial appointment. Any change in this appointment requires agreement of all parties plus the Chancellor and may occur only after documenting that program needs call for the adjustment.

5. A visiting scholar who is not filling a tenure track position may receive a joint appointment for the duration of the visit.

6. As with any appointment, joint appointments must receive the favorable recommendation of the Provost and VCAA, the Chancellor, and final appointment by the Board of Trustees.

2.7 Contracts - Adjunct

2.7.1 Decision to Hire

Adjunct faculty are employed on a by-the-course basis for one semester at a time. The department chair or program director is responsible for searching for adjunct faculty. Annually the Provost and VCAA allocates funding for a specified number of adjunct-taught hours to each department/program. The chair/program director is responsible for determining the appropriate qualifications of the candidate, evaluating performance, communicating policy and other relevant information to adjunct faculty.

2.7.2 Responsibilities

Adjunct faculty are expected to attend departmental faculty meetings whenever feasible. Chairs/Directors should provide an orientation for all adjunct faculty and should attempt to schedule department meetings at times when most adjunct faculty may attend.

2.7.3 Application and Contract

Applicants for adjunct [faculty] positions must submit a completed application form, official transcripts of all post-secondary work, and other documentation of expertise and experience as requested by the Chair/Director [to the Office of the Deans]. Upon recommendation by the Chair/Director and after an interview, the Provost and VCAA issues a letter of employment which specifies the terms of employment [and rank]. These individuals carry Adjunct status.

2.7.4 Teaching Responsibilities
Normally adjunct faculty members may teach no more than six hours per semester. Requests to exceed this amount must be approved in advance by the Provost and VCAA.

2.7.5 Salary and Fringe Benefits

Salary for adjunct faculty is normally paid by the semester credit hour at a rate reflecting the individual's training and experience. A copy of prevailing salary rates may be acquired in the Academic Affairs office [Office of the Deans]. Checks are issued on the 15th of each month while employed and subject to withholding for Social Security, state and federal income taxes.

In special cases a part-time faculty member may be employed at a salary rate independent of the number of semester hours taught. These individuals receive a formal contract issued by the Provost and VCAA and are employed as part-time Lecturers.

The State of North Carolina does not fund fringe benefits for adjunct and part-time faculty (defined as those who teach less than three-quarter time). The state does pay the Social Security matching contribution.

2.7.6 Perquisites

On a space available basis UNCA [UNC Asheville] provides adjunct faculty with offices (sometimes shared with other faculty) for meeting with students, secretarial support for work directly related to course instruction, access to the services of the Library, Information Technology Services, Copy Center, Media Center, and athletic facilities. The services of the Health Center and Counseling Center are not available to adjunct faculty. During the period of employment adjunct faculty have access to athletic events, films, performances and lectures on the same basis as full-time faculty.

2.7.7 Summer School

Adjunct faculty may be employed during the summer on the same basis as outlined above for the regular semester.

2.8 Faculty Personnel Records

2.8.1 Purpose/Location

Faculty personnel records are maintained in the Office of Academic Affairs with the Provost and VCAA as the custodian of these records. (see UNCA PPM #29)

2.8.2 Content

The following information on each faculty member is kept in the personnel file:

- Completed Application Form
- Letter of Appointment or Contract, designating the conditions of employment
- Salary and promotion letters, indicating new or changing salary or position
- Evaluative material
- Significant commendations or disciplinary citations
• Official transcripts, received directly from the issuing institutions

• Complete payroll deduction information

• Items submitted by faculty member, if approved by one's immediate supervisor for inclusion in the official records as "relevant to accomplishing personnel administration purposes."

• An employee's statements relating to file material which he or she considers to be inaccurate or misleading

• Name, age, date of original employment, current position title, current salary, date and amount of most recent change of salary, date of most recent promotion, demotion, transfer, suspension, separation, or other change in position classification, and the agency and location to which the employee is currently assigned.

• Results of criminal background check.

2.8.3 Access

Faculty personnel files are by law open to the person who is the subject of the file and to "the supervisor of the employee," defined in the statute as any individual in the chain of administration authority above the employee. The employee is entitled to see everything except letters of references solicited prior to employment and any information concerning a medical disability, mental or physical, that a prudent physician would not divulge to a patient.

2.9 Faculty Salary

2.9.1 Salary Budget

The total budget for faculty salaries each year is determined on the basis of the average faculty salary of the previous year (i.e., total salary budget divided by the number of budgeted positions). Additional positions that result from enrollment increases [changes in enrollment] are then added at the average salary rate of that previous year. Any salary increments are then added to this as appropriated by the General Assembly and allocated by the Board of Governors.

Priorities for allocating the faculty salary budget are:

1. salaries for faculty members on continuing contracts,

2. salaries for newly hired faculty, and

3. salaries for adjunct faculty.

2.9.2 Compensation Practices

2.9.2.1 Payroll Periods (dates)

Salaries for UNCA [UNC Asheville] employees are directly deposited into the bank account designated by each employee. New faculty must provide a Direct Deposit Enrollment Form, along with the necessary documentation of the bank account to the Payroll Office. Each pay period, Notices of Deposit are picked up from the Payroll Office by the department secretary or designated person. That person signs for and distributes to the whole department.
The contract salary is paid in twelve installments; deposits are made available on the last working day of each month, except in December when deposits are issued about the 20th. Summer school deposits are issued in the regular monthly deposit.

The pay period for new faculty members extends from July 1st through June 30th. The first paycheck for new faculty members is issued at the end of August in the amount of two-twelfths of the contract salary. The balance of the contract is paid in one-twelfth installments from September through June.

Because our payroll is prepared in Raleigh, UNCA [UNC Asheville] is unable to issue salary advances or issue checks prior to pay day.

2.9.2.2 Salary Increases

The General Assembly and the UNC Board of Governors has a practice of awarding [may award] salary increases for meritorious service. Additional adjustments may be made to reward promotions and to minimize salary inequities. These increases become part of a faculty member's base salary. The awarding of merit salary increases is part of the annual faculty evaluation process (see Section 3.4).

2.9.3 Payroll Withholding

2.9.3.1 Mandatory

The following items are required to be withheld from each employee's paycheck:

- Federal Income Tax
- Social Security tax (FICA [and Medicare])
- North Carolina Income Tax
- Retirement Contribution

2.9.3.2 Voluntary

The following items are voluntary deductions from an employee's paycheck. See the Office of Human Resources for more information (http://www.unca.edu/hr/).

Deducted on a pre-tax basis

1. Family and Dependent Health Insurance

2. Flexible Spending Accounts for expenses such as unreimbursed medical costs and child care costs.

3. Supplemental dental and/or vision coverage.

4. Accidental Death and Dismemberment Insurance which pays a benefit for certain types of injuries incurred either on or off the job.

5. Tax Deferred Annuities (TDA), designed for an employee to defer the payment of Federal and State income taxes on a portion of the monthly salary. By deferring the receipt of a portion of the State salary, the employee also reduces the amount of Federal and State withholding taxes taken from their check as well as the amount of taxes at the end of the year. [Supplemental Retirement programs, including NC 401(k), 403(b), or 457 plans.]
[6. Parking permit fees.]

Deducted on an after-tax basis

1. Life insurance
2. Supplemental disability insurance
3. Purchase of U.S. Savings Bonds
[3. State Employees Association of North Carolina]
4. Roth Supplemental Retirement plans: Roth 401(k) and Roth 403(b)
5. NC Combined Campaign
6. Charitable Contributions to UNC Asheville Foundation
7. National College Savings Program]

2.10 Fringe Benefits

[See http://www2.unca.edu/hr/benefits.html]

2.10.1 Retirement Systems

2.10.1.1 Teachers' and State Employees' Retirement System

- Contributions. Each employee contributes 6% of their gross pay. The state makes an additional contribution to the system.

- Pension payments are related to salary, years of service and an established formula.

- Early retirement is available, beginning at age 50 after 20 years of service.

- A death benefit equal to the previous year's salary (minimum $25,000, maximum $50,000) is paid by the retirement system to the beneficiary of an employee who, after one year of full-time service, dies in service before age 70.

- A disability retirement allowance is paid by the retirement system to an employee who, after five years of full-time service, becomes permanently disabled, mentally or physically. Short-term disability is available after one year of service.

- Vesting. After five years' full-time service, a participant earns a vested right in the retirement system and is eligible for monthly retirement payments, available at age 60, even though he or she has left state employment. A person employed for less than five years, or one who does not wish to qualify for retirement benefits, may withdraw his or her own contributions, subject to a possible tax penalty, upon leaving state employment.

2.10.1.2 Optional Retirement Plans (ORPs)

In the ORP system the employee contributes 6% of gross earnings each pay day. The State
provides a matching contribution and this total is sent to the selected vendor each month.

The ORP system permits annuity premiums to be invested at percentages selected by the employee through many different stock, mutual fund, money market, etc options. Forms to enroll in ORP programs and information booklets are available in the Human Resources Office.

### 2.10.2 Other Benefits

To be eligible for retirement and health benefits a faculty member must be employed three-quarter time or more. Additional information is available at [http://www.unca.edu/hr/](http://www.unca.edu/hr/).

#### 2.10.2.1 Institutional Payments

The University System currently makes the following payments to the appropriate agency on each employee for each pay period: Social Security tax, Retirement contribution, and Major medical insurance.

#### 2.10.2.2 Insurance

##### 2.10.2.2.1 Medical and Life Insurance

Comprehensive hospitalization and major medical insurance are available. The employee's coverage is paid in full by the state. Family coverage is available at an additional cost for spouse and/or children.

Group life insurance plans are available from TIAA. Faculty should consult with TIAA for specific details. Life insurance coverage at bargain rates is available to members of the State Employee's Association, and additional coverage may be purchased through N.C. State group plan with Jefferson Pilot.

##### 2.10.2.2.2 Liability/Property Insurance

A personal liability insurance plan covers faculty members for suits brought against them for actions connected with their performance of professional duties.

A description of liability coverage is found beginning on page 13 of PPM #4 in the UNCA [UNC Asheville] Policy and Procedures Manual. A copy of the basic policy can be reviewed in the UNCA [UNC Asheville] Business Office.

The State of North Carolina does not provide insurance coverage for the personal property of employees even if that property is used in meeting assigned or contractual responsibilities. Therefore, faculty members use personal property “at risk” in the conduct of their professional activities while on campus.

### 2.11 Termination/Separation Procedures

See [section 14.2 and] The Code, Chapter VI, for discussion relating to issues of separation, resignation, [termination] and non-reappointment.

[2.12 Retirement]
2.11.1.1 [2.12.1] Retirement

Early retirement is available beginning at age 50 and after 20 years of service for participants in the State Retirement Program. Contact the Human Resources Office for additional information.

[Information on retirement can be found at http://www2.unca.edu/hr/benefitsinfo/retirement.html]

2.11.2 [2.12.2] Phased Retirement Program (SD0809F)

The University of North Carolina Phased Retirement Program (the “Program”) is designed to provide an opportunity for eligible full-time tenured faculty members (“Eligible Faculty Members”) to transition to retirement through half-time (or equivalent) service. The goals of the Program are to provide additional flexibility and support for individual faculty members who are nearing retirement and to promote renewal of the professoriate in order to ensure institutional vitality. Enrolling Eligible Faculty Members may elect to begin receiving the benefits they have accrued under either the NC Teachers’ and State Employees’ Retirement System (“TSERS”) or the UNC Optional Retirement Program (the “ORP”), but they are not required to do so. However, so long as an Eligible Faculty Member does not receive a monthly retirement benefit, he or she will not receive University/State paid State Health Plan benefits.[1] This policy is written in accordance with UNC Policy 300.7.2 and includes the revisions approved on September 7, 2007.

The Phased Retirement Program is entirely voluntary and is available when agreed to and entered into by mutual written agreement between an eligible full time tenured faculty member and the university.

2.11.2.1 [2.12.2.1] Eligibility and Approval

A. The Program is available only to full-time tenured faculty members. Non-tenured and tenure-track faculty are not eligible for the Program.

B. Participating faculty members must:

1. Be at least age 62 or older for members of TSERS or 59 ½ for participants in the ORP upon entering the Phased Retirement Program.[2] Note: Age calculations are based on age as of August 1 of the year in which phased retirement begins.

2. Have at least five (5) years of full-time service at his or her current institution, and

3. Be eligible to receive a retirement benefit under TSERS or ORP, as applicable.

C. Faculty members are individually responsible for providing to their employing institution age and service data needed to determine their Program eligibility. Faculty who occupy full-time administrative or staff positions are not eligible for the Program until they vacate the administrative or staff position. Thus, services rendered while in phased retirement will be only those teaching, research and administrative duties under faculty appointment.

D. Eligible Faculty Members do not have an absolute right to participate in the Program. Departments, schools or institutions may limit participation in the Program based on three conditions:

1. A finding that financial exigencies prohibit enrollment in the Program by the Eligible Faculty Member.
2. Further enrollment in the Program will substantially weaken academic quality or disrupt program sequence within the department, school or institution.

3. A department or school or an institution may each establish a cap or limit on the number of Eligible Faculty Members who may enter the program.

E. An application to enter the Program must be made at least six (6) months but no more than eleven (11) months before the effective date of an Eligible Faculty Member’s requested participation in the Phased Retirement Program, to begin at the start of the next academic year.

F. An application to enter the Program must be submitted to the Eligible Faculty Member’s Department or Division Head. An application is subject to final approval by the Institution’s Chief Academic Officer following evaluation of the conditions outlined in section D above and the development of a mutual “work plan” with the Eligible Faculty Member.

G. If an Eligible Faculty Member and the employing institution tentatively agree to the faculty member’s participation and a mutual work plan, the decision to enter or not enter the Program then rests with the Eligible Faculty Member.

H. Once made, a decision to enter the program is binding and cannot be reversed.

I. The Program has been made a continuing benefit of the University, subject to reservation by the UNC Board of Governors of the right to modify, suspend, or discontinue the Program. Eligible Faculty Members may timely seek to enter the Program for the number of years uniformly specified by UNC Asheville for its participating faculty.

**2.11.2.2 Terms and Conditions**

A. Phased retirement under the Program is subject to the following terms and conditions:

1. Upon entering the Program, Eligible Faculty Members give up tenure. They terminate full-time employment and contract for a period of half-time (or equivalent) service to UNC Asheville. Half-time responsibilities may vary among departments in the university. Half-time service may consist of full-time work for one-half of a year (e.g. full-time work for one semester of an academic year) or half-time work for a year (e.g. half-time work in each of the two semesters of an academic year). Under either pattern the Program enrollment period begins with the fall semester. Teaching, research and service assignments during the period of phased retirement are individually negotiated by the Eligible Faculty Member and the appropriate supervisors and/or personnel committee(s). The details of the half-time service (“work plan”) must be set forth in a UNC Phased Retirement Application and Reemployment Agreement (the “Agreement”).

2. In conjunction with the Agreement executed under the Program an Eligible Faculty Member must execute a waiver of rights and claims under the Age Discrimination in Employment Act (the “ADEA”) and other laws (the “Release”). The Agreement and Release must fully comply with the requirements for knowing and voluntary waivers as provided in the ADEA and other applicable law. After the Agreement and Release are drafted and signed by the appropriate administrators, they must be delivered to the Eligible Faculty Member and the Eligible Faculty Member has no fewer than forty-five (45) calendar days within which to consider the Agreement and Release. The Agreement and Release do not become effective and enforceable until after a period of seven (7) calendar days following their execution by the Eligible Faculty Member, and during such period the Eligible Faculty Member may unilaterally revoke the
Agreement and Release. If the Eligible Faculty Member elects to revoke the Agreement and Release within the seven-day period, the Eligible Faculty Member will continue in his or her same full-time employment status as the faculty member held immediately prior to the execution of the Agreement and Release, and the Agreement and Release become null and void. Revocations must be in a writing personally signed by the faculty member and received by the official to whom the prior application to participate in the Program had been submitted.

3. Participating Faculty Members initially receive a salary equal to fifty percent (50%) of the full-time salary they received immediately prior to phased retirement (e.g. based on the Faculty Member's prior nine- or twelve-month contractual terms, as applicable). In addition, compensation paid during phased retirement is paid over twelve (12) months irrespective of the pattern of duties under the Faculty Member's work plan. Subject to any limitations imposed under the State Retirement System and the legislative appropriations process, Participating Faculty Members are eligible for salary increases and merit pay in subsequent years of Program participation based on annual evaluations.

4. Participating Faculty Members will remain subject to The Code and policies of The University of North Carolina and UNC Asheville policies. In addition, without expressly or constructively terminating any Agreement, UNC Asheville may place a Participating Faculty Member on temporary leave with pay and/or reassign a Participating Faculty Member's duties during or as a result of any investigation or disciplinary action involving the Participating Faculty Member. Such authority shall be invoked only in exceptional circumstances when the Participating Faculty Member’s Department or Division Head determines that such action is in the best interests of the university.

5. Phased retirement under the Program may be for a period of at least one but not greater than five years. Each institution will set the length of phased retirement for its faculty. All Eligible Faculty Members at each institution will have the same period of phased retirement. At UNC Asheville, phased retirement is for a period of three years.

B. UNC Asheville will develop a list of employee benefit plans or programs in which Eligible Faculty Members may participate during the phased retirement period. In addition, Eligible Faculty Members who elect into the Program will retain their professorial rank and the full range of responsibilities, rights and other general benefits associated with such rank, except for tenured status or as otherwise modified pursuant to an Eligible Faculty Member’s agreed-to work plan. (UNC Asheville will, however, keep records of participation so as to note those faculty who are in phased retirement.)

2.11.2.3 [2.12.2.3] General Provisions

A. Nothing in the Program precludes a participating Faculty Member from terminating his or her phased retirement at any time if the employing institution provides its consent.

B. Eligible Faculty Members participating in the Program do not enjoy the benefits of tenure. They may not serve on committees that require members to be tenured. Otherwise, participants have the same academic freedoms and responsibilities as other faculty members and have access to all grievance and appeal procedures available to non-tenured members of the faculty who are not participating in the Program.

C. Participating Faculty Members are expected to maintain high levels of professional commitment to UNC Asheville.
2.11.2.4 [2.12.2.4] Procedures

The following information represents UNC Asheville’s institutional guidelines for phased retirement.

A. Institutional Limits on Participation in the Phased Retirement Program

UNC Asheville has not established departmental or institutional caps on the number of participants in the Phased Retirement Program (PRP). However, an application to participate can be denied if participation in the PRP would substantially weaken academic quality of department or university programs. This finding, however, must be supported by objective measures of program quality. A judgment to deny participation on these grounds must be confirmed by the Provost/Vice Chancellor for Academic Affairs, if first made by a Department Chair. A judgment to deny participation must be confirmed by the Chancellor when first made by the [Provost and] Vice Chancellor for Academic Affairs.

If the initial application is not approved, faculty members may appeal to the Grievance Committee if they feel they have been inappropriately denied access to the Program or if they feel the Program’s guidelines have not been properly followed.

B. Limits on Participation Because of Financial Exigencies

Financial exigency shall be determined according to the stipulations of The Code of the University of North Carolina (Section 605, A, B, C) and the UNC Asheville Tenure Policies and Regulations (Section V, 1,2). The following conditions must be met in order for there to be any effect upon the application of the PRP.

1. Funds in the “101” faculty salary amount must be insufficient to support fully the number of FTE faculty positions of the previous year, and

2. A determination has been made by the Chancellor, under the conditions set by The Code, to terminate a designated program or to reduce the number of faculty members in a designated program.

In the event a financial exigency is declared according to the provisions of The Code, entry into phased retirement for faculty in the programs being eliminated may be suspended until the financial exigency is declared at an end.

C. Eligibility

Faculty are individually responsible for providing to the Office of Academic Affairs their age and service data needed to determine their program eligibility.

A list of faculty by rank, age, discipline area and administrative title (without names) of those faculty eligible and ineligible to participate in the program will be prepared annually each year and mailed as part of the PRP program materials. (See item V.B.6)

D. Procedures Ensuring Eligible Members Are Informed

1. All full-time tenured faculty will receive a letter announcing the PRP and providing eligibility information from the Office of Academic Affairs.

2. All eligible faculty members, and those who appear to be qualified for participation, will receive program materials from the Office of Academic Affairs by September 1 of each year.
Program materials will be sent by certified mail in order to document the receipt of it by the eligible faculty member.

The Phased Retirement materials will consist of the following:

- A letter announcing the program,
- The program summary,
- A copy of the model UNC Phased Retirement Application and Re-employment Agreement,
- A copy of the model Phased Retirement Release,
- A chart outlining effects of participation in Phased Retirement Program on employee benefits, and
- A chart reflecting information regarding persons who are eligible and those who are ineligible for the program.

3. Annual presentations will be advertised and held to provide further information about the Program to interested faculty, through a cooperative venture between the Office of Academic Affairs and the Office of Human Resources.

4. Questions about the program should be directed to the Office of Academic Affairs.

E. Procedure Used To Accept, Review and Approve Applications

1. Application to the PRP must be made at least six (6) months but no more than eleven (11) months before the effective date of an Eligible Faculty Member’s requested participation in the Phased Retirement Program, to begin at the start of the next academic year.

2. Faculty members intending to enter the PRP will develop a half-time work plan with the Department Chair. This plan will be subject to approval by the [Provost and] Vice Chancellor for Academic Affairs. A faculty member who is currently serving as a Department Chair will develop a half-time work plan with the [Provost and] Vice Chancellor for Academic Affairs, who will consult with senior members of the department about the plan. The plan will be subject to approval by the Chancellor.

3. The Office of Academic Affairs will receive the applications, recording the date of their receipt. The [Provost and] Vice Chancellor will review the application and render a decision regarding its approval with regard to its effect on the academic quality of the department or university programs. When an application is received from a current Department Chair, the Chancellor will review the application and render a decision regarding its approval.

4. If the Application is approved:

   A. A checklist and the Agreement and Release Package will be provided to the faculty member by the Office of Academic Affairs. The checklist will ensure and document that the eligible faculty member has received all materials in the Agreement and Release Package. The eligible faculty member will sign the checklist, confirming receipt of these materials as well as the Agreement and Release forms.

   B. Upon signing this checklist and receiving the completed Agreement and Release forms signed by the institution, the faculty member has 45 days in which to sign and return the forms to the Office of Academic Affairs. If the Agreement and Release forms are not received within 50 days, there is no active request to participate in the Phased Retirement Program at that time. A faculty member may apply to the Program at a later date in accordance with the provisions of this policy.
C. If the signed forms are received by the Office of Academic Affairs within 50 days, there will be a 7-day waiting period from the date of receipt in which the faculty member has the right to revoke the fully executed Agreement and Release. If the application is not revoked, the faculty member is officially enrolled in the Program. If revoked, the faculty member will not be eligible to participate in the program at that time, but may reapply to participate at a later date. The Office of Academic Affairs will provide to the Office of Human Resources a listing of all faculty members who have enrolled in the Program.

F. Guidelines for Half-time Work Plans

1. The faculty member will carry a teaching load which is reduced by one-half of the standard load, currently 24 semester hours (or their equivalent) per academic year. Thus, the faculty member participating in PRP will carry 12 semester hours per academic year. Faculty members in the Program will not typically be eligible for additional time released from teaching.

2. The faculty member will carry one-half of faculty responsibilities including service and scholarly activity (advising, departmental work, campus committee assignments, and community service).

3. Only faculty with 12-month contracts (e.g. research professors) may perform services during other than the fall and spring semesters of the regular-term academic year. No work plan may include duties incident to summer school curricula.

4. Compensation is paid over the 12-month participation year even if services are rendered during only part of the 12 months.

The faculty member will work together with the Department Chair to define specific service responsibilities under F. 2., recognizing that these may shift from year to year during the Phased Retirement.

[1] If a faculty member does not elect to receive a monthly retirement benefit from TSERS or ORP, upon entering phased retirement he/she may continue participation in the State Health Plan as a permanent halftime employee on a fully contributory basis. In that case, the faculty member would not be eligible to receive the University’s contribution.

[2] To comply with federal law, the increase in minimum age for participation from 50 to 62 for TSERS and 59 ½ for ORP participants becomes effective for faculty who first enter phased retirement beginning in the 2008-2009 academic year.

2.12 Retired and Emeritus Professor (SD2384.txt)

Faculty members retired and emeriti possess such privileges as continued listing in the university catalog, standing invitations to march in all academic processions and to participate in other faculty events on the same basis as currently employed faculty, use of athletic and library facilities and faculty parking areas, and such other privileges as may be extended by the Board of Trustees.

2.13 FORMS for Section 2.0

See http://www2.unca.edu/aa/recruit_hire.htm